## PERVERSE SHEAVES ON THE STRATIFIED LINE

#### SASHA YOM DIN

# 1. Generalities

We deal with complex algebraic varieties X. Recall that there is the notion of an algebraic smooth stratification S of X (to be called just stratification) - S is a partition of X into locally closed smooth connected non-empty subvarieties ("strata"), such that the closure of each stratum is union of strata. A sheaf on X is called constructible w.r.t S if its restriction (i.e. "upper star") to each stratum is a locally constant (i.e. a "local system"). A sheaf on X is called constructible if there exists an algebraic smooth stratification w.r.t which it is constructible.

We have the basic object - the bounded derived category of sheaves on X with constructible cohomologies, denoted D(X). It is a triangulated subcategory of the bounded derived category of sheaves on X. If S is a stratification of X, we have the full subcategory  $D_S(X) \subset D(X)$ , consisting only of those complexes whose cohomology is smooth when restricted to strata in S. This is a triangulated subcategory.

If  $f: X \to Y$  is an algebraic morphism, we have triangulated functors  $f_!, f_*: D(X) \to D(Y)$  and  $f^!, f^*: D(Y) \to D(X)$ .  $f_*$  is right adjoint to  $f^*$ , and  $f_!$  is left adjoint to  $f^!$ . We have a morphism of functors  $f_! \to f_*$ , which is an isomorphism if f is proper. We also have a functor  $Hom: D(X)^{op} \times D(X) \to D(X)$ .

We define  $D_X = \pi^! C$  to be the dualizing complex on X. Here C is the constant sheaf on the point and  $\pi: X \to pt$  is the projection from X to the point. We define the duality functor  $\mathbb{D}: D(X)^{op} \to D(X)$  by  $\mathbb{D} = Hom(\cdot, D_X)$ . Then wee have an isomorphism  $\mathbb{D} \circ \mathbb{D} = \mathrm{Id}$ . We also have isomorphisms, for a morphism  $f: X \to Y$ ,  $\mathbb{D} \circ f_* \circ \mathbb{D} = f_!$  and  $\mathbb{D} \circ f^* \circ \mathbb{D} = f^!$ . If S is a stratification of X,  $\mathbb{D}$  preserves  $D_S(X)$ .

If X is smooth, of pure (complex) dimension d,  $D_X[-2d]$  is a local system. If  $\mathcal{L}$  is a local system on such an X,  $(\mathbb{D}\mathcal{L})[-2d]$  is a local system.

If f is an open embedding, we have  $f^! = f^*$  and isomorphisms (via adjunction)  $f^! f_! = \operatorname{Id}, f^* f_* = \operatorname{Id}$ . If g is a closed embedding, we have (since g is proper)  $g_! = g_*$ , and isomorphisms (via adjunction)  $g^! g_! = \operatorname{Id}, g^* g_* = \operatorname{Id}$ .

If g is a closed embedding and f the embedding of its open complement, we have  $f^*g_*=0$ , and thus by adjunction also  $g^!f_*=0$  and  $g^*f_!=0$ . Also, we have distinguished triangles  $g_!g^!\to \mathrm{Id}\to f_*f^*\to \mathrm{and}\ f_!f^!\to \mathrm{Id}\to g_*g^*\to$ , where the first two arrows are via adjunction. The third arrow is then uniquely determined, since  $Hom(f_!\cdot,g_*\cdot)=Hom(g_!\cdot,f_*\cdot)=0$ .

#### 2. Gluing

2.1. **Triangulated setup.** Let us be given  $D, D_U, D_Z$  - three triangulated categories, and exact functors  $i_{\bullet}: D_Z \to D$ ,  $j^{\bullet}: D \to D_U$  (also denoted  $i_{\bullet} = i_* = i_!$  and  $j^{\bullet} = j^* = j^!$ ). We assume that  $i_{\bullet}$  and  $j^{\bullet}$  admit exact left and right adjoints (adjunctions denoted  $(i^*, i_{\bullet}, i^!)$  and  $(j_!, j^{\bullet}, j_*)$ ). We also assume  $j^{\bullet}i_{\bullet} = 0$ .

We assume that there are distinguished triangles  $i_!i^! \to \operatorname{Id} \to j_*j^* \to \operatorname{and} j_!j^! \to \operatorname{Id} \to i_*i^* \to$ , where the first two arrows are via adjunction. The third arrow is uniquely determined (since  $i^*j_! = i^!j_* = 0$  (follows from  $j^{\bullet}i_{\bullet}$  by adjunction) and thus  $\operatorname{Hom}(i_!\cdot,j_*\cdot) = \operatorname{Hom}(j_!\cdot,i_*\cdot) = 0$ ). Finally, we assume that the adjunction morphisms  $\operatorname{Id} \to i^!i_{\bullet}$ ,  $i^*i_{\bullet} \to \operatorname{Id}$ ,  $\operatorname{Id} \to j^{\bullet}j_!$  and  $j^{\bullet}j_* \to \operatorname{Id}$  are isomorphisms.

This is a "short exact sequence" of triangulated categories.

We have a morphism of functors  $i^! \to i^*$ ; It is the one that after composition with (the fully faithful functor)  $i_{\bullet}$  becomes the composition  $i_{\bullet}i^! \to \operatorname{Id} \to i_{\bullet}i^*$ . We also have a morphism of functors  $j_! \to j_*$ ; It is the only one that after precomposition with  $j^{\bullet}$  becomes the composition  $j_!j^{\bullet} \to \operatorname{Id} \to j_*j^{\bullet}$ .

2.2. t-structure. Now, suppose that we are given t-structures on  $D_U$  and  $D_Z$ . We define a t-structure on D as follows:  $\mathcal{F} \in D$  is in  $D^{\leq 0}$  if  $j^*\mathcal{F}$  and  $i^*\mathcal{F}$  are in corresponding  $D^0$ . Similarly,  $\mathcal{F} \in D$  is in  $D^{\geq 0}$  if  $j^!\mathcal{F}$  and  $i^!\mathcal{F}$  are in corresponding  $D^{\geq 0}$ .

#### Claim 2.1. This is indeed a t-structure.

Proof. All checkings are easy except the axiom about existence of "decomposition" into negative and positive parts. Let us show this. So, fix  $\mathcal{F} \in D$ . We have the morphism  $\tau_{\leq 0}j^!\mathcal{F} \to j^!\mathcal{F}$ , and thus we get a morphism  $j_!\tau_{\leq 0}j^!\mathcal{F} \to \mathcal{F}$ . Complete it to  $j_!\tau_{\leq 0}j^!\mathcal{F} \to \mathcal{F} \to \mathcal{G} \to \mathbb{R}$ . In the same way, construct  $i_!\tau_{\leq 0}i^!\mathcal{G} \to \mathcal{G} \to \mathcal{H} \to \mathbb{R}$ . Finally, construct  $\mathcal{K} \to \mathcal{F} \to \mathcal{H} \to \mathbb{R}$ . Then it is easy to see that  $\mathcal{H} \in D^{\geq 1}$ . To see that  $\mathcal{K} \in D^{\leq 0}$ , use octahedron axiom for composition  $\mathcal{F} \to \mathcal{G} \to \mathcal{H}$  to get  $j_!\tau_{\leq 0}j^!\mathcal{F} \to \mathcal{K} \to i_!\tau_{\leq 0}i^!\mathcal{G} \to \mathbb{R}$  and then the assertion is easy.

We note that if the t-structures in  $D_Z$  and  $D_U$  are non-degenerate, so is our t-structure on D.

We denote by  $P_Z$ , P,  $P_U$  the corresponding hearts.

2.3. **Hearts.** The functors  $i_{\bullet}$  and  $j^{\bullet}$  are clearly t-exact, while  $i^!, j_*$  are left t-exact and  $i^*, j_!$  are right t-exact.

As usual, precomposibe with the inclusion  $P \to D$  and composing with  $H^0: D \to P$ , we get functors and adjunctions between hearts:  $({}^pi^*, i_{\bullet}, {}^pi^!)$  and  $({}^pj_!, j^{\bullet}, {}^pj_*)$ . We have also the relation  $j^{\bullet}i_{\bullet} = 0$ . The following sequences are exact:  $0 \to i_{\bullet}{}^pi^! \to \mathrm{Id} \to {}^pj_*j^{\bullet}$  and  ${}^pj_!j^{\bullet} \to \mathrm{Id} \to i_{\bullet}i^* \to 0$ . The following adjunction morphisms are isomorphisms:  $\mathrm{Id} \to {}^pi^!i_{\bullet}, {}^pi^*i_{\bullet} \to \mathrm{Id}, \mathrm{Id} \to j^{\bullet p}j_!$  and  $j^{\bullet p}j_* \to \mathrm{Id}$ .

So we get a "short exact sequence" of abelian categories  $P_Z \to P \to P_U$ . Namely,  $i_{\bullet}$  is fully faithful, and its image is a Serre subcategory;  $P_U$  is the Serre quotient of P by  $P_Z$ .

2.4. **Extensions.** An  $\mathcal{F} \in P$  is called an extension of  $\mathcal{G} \in P_U$ , if  $j^{\bullet}\mathcal{F} = \mathcal{G}$ . We have clearly the extensions  ${}^p j_! \mathcal{G}$  and  ${}^p j_* \mathcal{G}$ .

Note that  $j_!\mathcal{G} \to j_*\mathcal{G}$  factors through  $j_!\mathcal{G} \to {}^p j_!\mathcal{G} \to {}^p j_*\mathcal{G} \to j_*\mathcal{G}$ . The image of  ${}^p j_!\mathcal{G} \to {}^p j_*\mathcal{G}$  we denote by  $j_!_*\mathcal{G}$ ; It is the minimal extension functor.

We have cohmological characterization of these three extensions. We first state a lemma.

**Lemma 2.2.** Let  $\mathcal{G} \in P_U$ , and let  $k \in \mathbb{Z}$ . Then there exists, up to a unique isomorphism, a unique extension  $\mathcal{F}$  of  $\mathcal{G}$  which satisfies:  $i^*\mathcal{F} \in D^{\leq k-1}$  and  $i^!\mathcal{F} \in D^{\geq k+1}$  (let us call it, for briefness, the k-extension).

*Proof.* As for existence, construct truncation  $i^*j_*\mathcal{G} \to \tau_{\geq r}i^*j_*\mathcal{G}$  and then by adjunction  $j_*\mathcal{G} \to i_{\bullet}\tau_{\geq r}i^*j_*\mathcal{G}$ . The cocone of this morphism is seen to satisfy the properties. Uniqueness is similar; If  $\mathcal{F}$  is such an object, we have a morphism  $\mathcal{F} \to j_*\mathcal{G}$ , and then it is not hard to show that  $\mathcal{F}$  must be the cocone of the above mentioned morphism...

Claim 2.3. For  $\mathcal{G} \in P_U$ ,  ${}^p j_! \mathcal{G}$  is its -1-extension,  ${}^p j_* \mathcal{G}$  is its 1-extension, and  $j_{!*} \mathcal{G}$  is its 0-extension.

*Proof.* The proofs are some easy exact triangle chasings...  $\Box$ 

We also have the following characterizations (we say that an object is supported on Z, if its  $j^{\bullet}$  is 0 or, equivalently, it is  $i_{\bullet}$  of something):

Claim 2.4. For  $\mathcal{G} \in P_U$ :  ${}^pj_*\mathcal{G}$  has no subobjects supported on Z, and it is the "biggest" extension with this property (any other embeds into it);  ${}^pj_!\mathcal{G}$  has no quotients supported on Z, and it is the "biggest" extension with this property (any other is a quotient of it);  $j_{!*}\mathcal{G}$  has no subobjects and no quotients supported on Z, and this characterizes it up to a unique isomorphism.

Now, we determine the simple objects in P:

**Claim 2.5.** The simple objects in P are:  $i_{\bullet}\mathcal{G}$  for simple  $\mathcal{G} \in P_Z$ , and  $j_{!*}\mathcal{G}$  for simple  $\mathcal{G} \in P_U$ .

Finally, we have:

**Claim 2.6.** If  $P_U$  and  $P_Z$  have finite length (i.e. any object has finite length), then so does P.

*Proof.* The proof is not difficult, by induction on the length of restriction to U.  $\square$ 

## 3. Notations for baby case

X denotes the complex projective line.  $i:Z\to X$  denotes the closed inclusion of the origin point, and  $j:U\to X$  denotes the open complement to Z. We get a stratification of U,Z by themselves, and of X by U and Z. We change notation and write D(Z),D(U),D(X) for the derived categories with cohomologies constructible w.r.t. these stratifications.

### 4. Perversity and the perverse t-structure

Let  $p = (p_0, p_1) \in \mathbb{Z}^2$ . This data is called "perversity".

We define a t-structure on D(Z) as follows:  $D^{p,\leq 0}(Z) = D^{\leq p_0}(Z)$  and  $D^{p,\geq 0}(Z) = D^{\geq p_0}(Z)$ . It is clear that this is a t-structure, with heart equivalent to f.d. vector spaces (but "sitting" in degree  $p_0$ ).

We define a t-structure on D(U) as follows:  $D^{p,\leq 0}(U) = D^{\leq p_1}(Z)$  and  $D^{p,\geq 0}(U) = D^{\geq p_1}(U)$ . It is clear that this is a t-structure, with heart equivalent to local systems on U (but "sitting" in degree  $p_1$ ). In our case, local systems are equivalent to f.d. vector spaces.

Our D = D(X),  $D_U = D(U)$ ,  $D_Z = D(Z)$  satisfy the formalism of gluing that we handled before. Thus, we have a t-structure on D(X), glued from the ones on D(U) and D(Z).

We denote by  $P^p(X)$  the heart of D(X) w.r.t. the t-structure associated to p. This is the abelian category of p-perverse sheaves. For example, the trivial perversity (0,0) yields the usual t-structure, with heart usual constructible sheaves.

Now let us observe what the duality  $\mathbb{D}$  does to these t-structures. Write  $p^* = (-p_0, -2 - p_1)$  (the "dual" perversity).

Claim 4.1. We have 
$$\mathbb{D}: D^{p,\leq 0} \to D^{p^*,\geq 0}$$
, and  $\mathbb{D}: D^{p,\geq 0} \to D^{p^*,\leq 0}$ .

In particular,  $\mathbb{D}: P^p(X) \to P^{p^*}(X)$ . Note that there is a (unique) auto-dual perversity; p = (0, -1). This is the most important one, and we write P(X) perverse sheaves w.r.t. this perversity.

### 5. Some calculations

I have done some calculations. I consider the perversity p = (0, -n). Note that this will describe all situations, since (a + r, b + r) and (a, b) are isomorphic by a shift. I write  $C_U$  for the constant sheaf on U, shifted by n, and  $C_Z$  for the constant sheaf on Z.

	-2	-1	0	1	2	3	4
$j_!C_U = {}^p j_!C_U$	X	X	X	X			
$^p j_! C_U = j_{!*} C_U$	X	X	X			X	X
$p_{j!}C_U = j_{!*}C_U = p_{j*}C_U$	X	X				X	X
$j_{!*}C_U = {}^p j_* C_U$	X	X			X	X	X
$p_{j_*C_U} = j_*C_U$				X	X	X	X
$\dim \operatorname{Ext}^1(j_{!*}C_U, i_{\bullet}C_Z)$	0	0	0	1	1	0	0
$\dim \operatorname{Ext}^1(i_{\bullet}C_Z, j_{!*}C_U)$	0	0	1	1	0	0	0

Now, let us describe the categories  $P^p(X)$  for the different perversities. In all cases, we have two irredcible objects  $j_{!*}C_U$  and  $i_{\bullet}C_Z$ .  $Ext^1$  between one of this irreducibles with itself is 0. The projective cover of  $j_{!*}C_U$  is  ${}^pj_!C_U$ .

For  $n \geq 3$  or  $n \leq -1$ ,  $P^p(X)$  is semi-simple, and so everything is clear.

For n=0: The object that we get as an extension, using a non-zero class in  $Ext^1(i_{\bullet}C_Z, j_!C_U)$ , is a projective cover of  $i_{\bullet}C_Z$  (in fact, this object is just the constant sheaf on X). We can compute everything, and get that our category  $P^p(X)$  is equivalent to the category of representations of the quiver  $\circ \to \circ$ .

For n=2:  $i_{\bullet}C_Z$  is projective. We get the same quiver description as for n=0. For n=1: The object that we get as an extension, using anon-zero class in  $Ext^1(i_{\bullet}C_Z, j_!C_U)$ , is a projective cover of  $i_{\bullet}C_Z$ . We can compute everything, and get that our category  $P^p(X)$  is equivalent to the category of representations of the quiver  $o \rightleftharpoons o$ , with the composition of the arrows in one direction being zero (only in one direction).